

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 01-828)

In re Application of: Patrick Tibbits)
••) Group Art Unit:
Serial No.: 10/620,985)
,) Examiner:
Filed: July 16, 2003	j
,	j
For: Bearing Having Anodic Nanoparticle)
Lubricant)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

On September 5, 2003 an Information Disclosure Statement was sent to the United States Patent and Trademark Office via first class mail. It was discovered that the serial number was incorrect therefore we are filing this Information Disclosure Statement in correction.

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited below are enclosed. These references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief be in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned representative by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

Date: September 9, 2003

Christopher M. Cavan

ulbert& Berghoff

Reg. No. 36,475

Substitute for form 1449A/PTO		Complete if Known		
OTPE			Application No.	10/620,985
ΙΝΈΟRΜΑΤΙ	ON DISCLOSU	RF	Filing Date:	July 16, 2003
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(use as many	y sheets as necessary)		Examiner Name	
Sheet 1	of	2	Attorney Docket No.	01-828

				FOREI	GN PATENT DOCUMENT	rs		
Examiner Initials*	Cite No.	Foreign Patent Document				Date of Publication of	Pages, Columns, Lines	
		Office ³ Number ⁴		Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Figures Appear	T ⁶
			6,318,898	B1	Ward et al.	11-20-2001		
			5,352,046		Ward	10-04-1994		
			RE35,860		Ward	07-28-1998		
	_		RE35,860	C1	Ward	01-02-2001		
			5,716,147		Cook et al.	02-10-1998		
			6,062,735		Ward	05-16-2000		
			4,363,737		Rodriguez	12-14-1982		
				FOF	REIGN PATENT DOCUME	ENTS		
Examiner Initials*	Cite No.	Foreign Patent Document				Date of Publication of	Pages, Columns, Lines	
			Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Figures Appear	

	OTHER DOCUMENTS NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²				
		QIU et al., "Preparation of Ni Nanoparticles and Evaluation of Their Tribological Performance as Potential Additives in Oils," <i>Journal of Tribology</i> , 123:441-443. (July 2001).					
		CHINAS-CASTILLO et al., "The Behavior of Colloidal Solid Particles in Elastohydrodynamic Contacts©," Tribology Transactions, 43:387-394 (2000).					
		Abstract of HANADA et al. "Characterization of diamond nanoclusters and applications to self-lubricating composites," New Diamond and Frontier Carbon Technology, pp.133-142.					

Hirotoshi et al.,

01-29-2003

JP2003028174

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English translation is attached.

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		4			Application No.	10/620,985	
SE	C 9 2002	INFORMATION DISC	21.09	HIRE	Filing Date:	July 16, 2003	
		STATEMENT BY AP			First Named Inventor	Patrick Tibbits	
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48	ADEMARK C	(use as many sheets as n	ecessa	ry)	Examiner Name		
	Sheet	2	of	2	Attorney Docket No.	01-828_	

	OTHER DOCUMENTS NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²					
		CHINAS-CASTILLO et al. "Mechanism of Action of Colloidal Solid Dispersions," Transactions of the ASME, 125:552-557 (2003).						
		ASME, 125:552-557 (2003).						

Examiner	Date
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Signature	Considered

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